SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average t	ourden
hours per response:	0.5

Instruction 1(b). Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934	hours per response: 0.5				
	or Section 30(h) of the Investment Company Act of 1940					
1. Name and Address of Reporting Person [*] Garg Vipin K	2. Issuer Name and Ticker or Trading Symbol <u>Altimmune, Inc.</u> [ALT]	5. Relationship of F (Check all applicat X Director	Reporting Person(s) to Issuer ble) 10% Owner			
(Last) (First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X Officer (gi below)	ve title Other (specify below)			
C/O ALTIMMUNE, INC., 910 CLOPPER ROAD SUITE 201S	08/30/2020	President & CEO				
5011E 2013	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Street)	4. II Amendment, Date of Original Filed (Month/Day/Tear)	Line)	Ingroup Filling (Check Applicable			
GAITHERSBURG MD 20878		X Form filed	by One Reporting Person			
· · · · · · · · · · · · · · · · · · ·		Form filed Person	by More than One Reporting			
(City) (State) (Zip)		Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(11150.4)
Common Stock, par value \$0.0001	08/30/2020		F ⁽¹⁾		2,015	D	\$17.62	323,774	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Vesting transaction: Shares surrendered to the Issuer solely to cover taxes associated with vesting of restricted stock.

Remarks:

<u>/s/ William Brown, as</u> <u>Attorney-in-Fact</u>

08/31/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.