# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# **SCHEDULE 13D**

Under the Securities Exchange Act of 1934 (Amendment No.)\*

# Altimmune, Inc.

(Name of Issuer)

## **COMMON STOCK**

(Title of Class of Securities)

02155H200 (CUSIP Number)

## **Attention: General Counsel**

Venrock

3340 Hillview Avenue Palo Alto, California 94304 Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

#### June 15, 2020

(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of \$ 240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box.

**Note:** Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule.13d-7 for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

1	NAMES OF REPORTING PERSONS:							
	Venrock Healthcare Capital Partners II, L.P.							
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS): (a) $\boxtimes^1$ (b) $\Box$							
3	SEC USE ONLY:							
4		SEE INSTRUCTIONS):						
4		SEE INSTRUCTIONS):						
	00							
5		JRE OF LEGAL PROCE	EDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e):					
6	CITIZENSHIP OR PLA	ACE OF ORGANIZATIO	N:					
	Delaware							
		7	SOLE VOTING POWER:					
			0					
		8	SHARED VOTING POWER:					
BENEF	MBER OF SHARES FICIALLY OWNED BY		4,500,000 <sup>2</sup>					
EACH	REPORTING PERSON WITH	9	SOLE DISPOSITIVE POWER:					
			0					
		10	SHARED DISPOSITIVE POWER:					
			4,500,000 <sup>2</sup>					
11	AGGREGATE AMOU	NT BENEFICIALLY OW	VNED BY EACH REPORTING PERSON:					
	4,500,000 <sup>2</sup>							
12	CHECK IF THE AGGI	REGATE AMOUNT IN F	ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):					
13	PERCENT OF CLASS	REPRESENTED BY AN	MOUNT IN ROW (11):					
	20.8% <sup>3</sup>							
14		G PERSON (SEE INSTR	UCTIONS):					
	PN							

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, VHCP Management II, LLC, VHCP Management III, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13D.
- 2 Consists of 1,189,350 shares owned by Venrock Healthcare Capital Partners II, L.P., 481,949 shares owned by VHCP Co-Investment Holdings II, LLC, 2,571,752 shares owned by Venrock Healthcare Capital Partners III, L.P. and 256,949 shares owned by VHCP Co-Investment Holdings III, LLC.
- 3 This percentage is calculated based on an estimated 21,611,698 shares of common stock outstanding as of the date hereof, which consists of 20,111,698 shares of common stock outstanding on May 29, 2020, as reported in the Issuer's prospectus supplement filed with the Securities and Exchange Commission on June 1, 2020, plus 1,500,000 shares of common stock purchased by the Reporting Persons directly from the Issuer pursuant to an at-the-market offering on June 15, 2020.

1	NAMES OF REPORTI	NG PERSONS:						
	VHCP Co-Investment Holdings II, LLC							
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS): (a) $\boxtimes^1$ (b) $\Box$							
3	SEC USE ONLY:							
4	SOURCE OF FUNDS	(SEE INSTRUCTIONS)						
	00							
5	CHECK IF DISCLOSU	JRE OF LEGAL PROCH	EEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e):					
6	CITIZENSHIP OR PLA	ACE OF ORGANIZATIO	ON:					
	Delaware							
	Deluware	_						
		7	SOLE VOTING POWER:					
			0					
		8	SHARED VOTING POWER:					
BENER	MBER OF SHARES FICIALLY OWNED BY		4,500,000 <sup>2</sup>					
EACH	REPORTING PERSON WITH	9	SOLE DISPOSITIVE POWER:					
			0					
		10	SHARED DISPOSITIVE POWER:					
			4,500,000 <sup>2</sup>					
11	AGGREGATE AMOU	NT BENEFICIALLY O	WNED BY EACH REPORTING PERSON:					
	4,500,000 <sup>2</sup>							
12	CHECK IF THE AGGI	REGATE AMOUNT IN	ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):					
13	PERCENT OF CLASS	REPRESENTED BY A	MOUNT IN ROW (11):					
	20.8% <sup>3</sup>							
14	TYPE OF REPORTING	G PERSON (SEE INSTR	RUCTIONS):					
	00	`						
1	~~							

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, VHCP Management II, LLC, VHCP Management III, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13D.
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1	NAMES OF REPORT	ING PERSONS:							
1									
	Venrock Healthcare Capital Partners III, L.P.								
2		PRIATE BOX IF A MEM	IBER OF A GROUP (SEE INSTRUCTIONS):						
	(a) ⊠ <sup>1</sup> (b) □								
3	SEC USE ONLY:								
4	SOURCE OF FUNDS	(SEE INSTRUCTIONS):							
	00								
5	CHECK IF DISCLOSU	JRE OF LEGAL PROCE	EDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e):						
6		ACE OF ORGANIZATIO	JN:						
	Delaware								
		7	SOLE VOTING POWER:						
			0						
		8	SHARED VOTING POWER:						
NU	MBER OF SHARES		$4,500,000^2$						
	EFICIALLY OWNED EACH REPORTING								
	PERSON WITH	9	SOLE DISPOSITIVE POWER:						
			0						
		10	SHARED DISPOSITIVE POWER:						
			$4,500,000^2$						
11			VNED BY EACH REPORTING PERSON:						
11		INT DEMERICIALLY UV	VIED DI EACH REPORTING PERSON:						
	4,500,000 <sup>2</sup>								
12	CHECK IF THE AGG	REGATE AMOUNT IN I	ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):						
13	PERCENT OF CLASS	REPRESENTED BY AI	MOUNT IN ROW (11):						
	20.8% <sup>3</sup>								
14	TYPE OF REPORTIN	G PERSON (SEE INSTR	UCTIONS):						
	PN								

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, VHCP Management II, LLC, VHCP Management III, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13D.
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1	NAMES OF REPORTING PERSONS:							
	VHCP Co-Investment Holdings III, LLC							
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS): (a) $\boxtimes^1$ (b) $\square$							
3	SEC USE ONLY:							
4	SOURCE OF FUNDS	(SEE INSTRUCTIONS):						
	00							
5	CHECK IF DISCLOSU	JRE OF LEGAL PROCEE	EDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e):					
6	CITIZENSHIP OR PL	ACE OF ORGANIZATIO	N-					
Ŭ								
	Delaware							
		7	SOLE VOTING POWER:					
			0					
		8	SHARED VOTING POWER:					
BENI	MBER OF SHARES EFICIALLY OWNED		4,500,000 <sup>2</sup>					
	EACH REPORTING PERSON WITH	9	SOLE DISPOSITIVE POWER:					
			0					
		10	SHARED DISPOSITIVE POWER:					
			4,500,000 <sup>2</sup>					
11	AGGREGATE AMOU	NT BENEFICIALLY OW	NED BY EACH REPORTING PERSON:					
	4,500,000 <sup>2</sup>							
12	CHECK IF THE AGG	REGATE AMOUNT IN R	OW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):					
13	PERCENT OF CLASS	REPRESENTED BY AM	IOUNT IN ROW (11):					
	20.8% <sup>3</sup>							
14	TYPE OF REPORTIN	G PERSON (SEE INSTRU	JCTIONS):					
	00	``						
	00							

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, VHCP Management II, LLC, VHCP Management III, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13D.
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1	NAMES OF REPORTING PERSONS:						
	VHCP Management II, LLC						
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS): (a) $\boxtimes^1$ (b) $\Box$						
3	SEC USE ONLY:						
4	SOURCE OF FUNDS (	SEE INSTRUCTIONS	b):				
	00						
5	CHECK IF DISCLOSU	URE OF LEGAL PROC	CEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e):				
6	CITIZENSHIP OR PLA	ACE OF ORGANIZAT	ION:				
	Delaware						
		7	SOLE VOTING POWER:				
			0				
		8	SHARED VOTING POWER:				
BENEF	MBER OF SHARES FICIALLY OWNED BY		4,500,000 <sup>2</sup>				
EACH	REPORTING PERSON WITH	9	SOLE DISPOSITIVE POWER:				
			0				
		10	SHARED DISPOSITIVE POWER:				
			4,500,000 <sup>2</sup>				
11	AGGREGATE AMOU	NT BENEFICIALLY C	WNED BY EACH REPORTING PERSON:				
	4,500,000 <sup>2</sup>						
12	CHECK IF THE AGG	REGATE AMOUNT IN	ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):				
13	PERCENT OF CLASS	REPRESENTED BY A	AMOUNT IN ROW (11):				
	20.8% <sup>3</sup>						
14	TYPE OF REPORTING	G PERSON (SEE INST	RUCTIONS):				
	00						

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, VHCP Management II, LLC, VHCP Management III, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13D.
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1	NAMES OF REPORTING PERSONS:							
	VHCP Management III, LLC							
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS): (a) $\boxtimes^1$ (b) $\square$							
3	SEC USE ONLY:							
4	SOURCE OF FUNDS (	(SEE INSTRUCTIONS	6):					
	00							
5	CHECK IF DISCLOSU	URE OF LEGAL PROC	CEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e):					
6	CITIZENSHIP OR PLA	ACE OF ORGANIZAT	ION:					
	Delaware							
		7	SOLE VOTING POWER:					
			0					
		8	SHARED VOTING POWER:					
BENEF	MBER OF SHARES TCIALLY OWNED BY		4,500,000 <sup>2</sup>					
EACH	REPORTING PERSON WITH	9	SOLE DISPOSITIVE POWER:					
			0					
		10	SHARED DISPOSITIVE POWER:					
			4,500,000 <sup>2</sup>					
11	AGGREGATE AMOU	NT BENEFICIALLY O	OWNED BY EACH REPORTING PERSON:					
	4,500,000 <sup>2</sup>							
12	CHECK IF THE AGG	REGATE AMOUNT IN	NROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):					
13	PERCENT OF CLASS	REPRESENTED BY	AMOUNT IN ROW (11):					
	20.8% <sup>3</sup>							
14	TYPE OF REPORTING	G PERSON (SEE INST	'RUCTIONS):					
	00							

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, VHCP Management II, LLC, VHCP Management III, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13D.
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1	NAMES OF REPORTING PERSONS:							
	Shah, Nimish							
2								
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS): (a) $\boxtimes^1$ (b) $\square$							
3	SEC USE ONLY:							
4	SOURCE OF FUNDS (	(SEE INSTRUCTIONS)	:					
	00							
5	CHECK IF DISCLOSU	IRE OF LEGAL PROCE	EEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e):					
6		ACE OF ORGANIZATIO	-N-					
0		ACE OF ORGANIZATIO	JIN.					
	United States							
		7	SOLE VOTING POWER:					
			0					
		8	SHARED VOTING POWER:					
BENE	MBER OF SHARES FICIALLY OWNED BY		4,500,000 <sup>2</sup>					
EACH	REPORTING PERSON WITH	9	SOLE DISPOSITIVE POWER:					
			0					
		10	SHARED DISPOSITIVE POWER:					
			4,500,000 <sup>2</sup>					
11	AGGREGATE AMOU	NT BENEFICIALLY O	WNED BY EACH REPORTING PERSON:					
	4,500,000 <sup>2</sup>							
12	CHECK IF THE AGGE	REGATE AMOUNT IN	ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):					
13	PERCENT OF CLASS	REPRESENTED BY A	MOUNT IN ROW (11):					
	20.8% <sup>3</sup>							
14		P DEDCON (CEE INCTE						
14		G PERSON (SEE INSTF						
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- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, VHCP Management II, LLC, VHCP Management III, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13D.
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1	NAMES OF REPORTING PERSONS:								
	Koh, Bong								
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS):								
	(a) $\boxtimes^1$ (b) $\square$								
3	SEC USE ONLY:								
4	SOURCE OF FUNDS	(SEE INSTRUCTIONS)	):						
	00								
5	CHECK IF DISCLOSU	JRE OF LEGAL PROC	EEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) OR 2(e):						
6	CITIZENSHIP OR PLA	ACE OF ORGANIZATI	ON:						
	United States								
<b></b>		7	SOLE VOTING POWER:						
		7							
			0						
		8	SHARED VOTING POWER:						
BENE	MBER OF SHARES FICIALLY OWNED BY		$4,500,000^2$						
EACH	REPORTING PERSON WITH	9	SOLE DISPOSITIVE POWER:						
			0						
		10	SHARED DISPOSITIVE POWER:						
			$4,500,000^2$						
11	AGGREGATE AMOU	NT BENEFICIALLY O	WNED BY EACH REPORTING PERSON:						
			HILD DI LIGHTELIONING I LIGON.						
	4,500,000 <sup>2</sup>								
12	CHECK IF THE AGGI	REGATE AMOUNT IN	ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS):						
13	PERCENT OF CLASS	REPRESENTED BY A	MOUNT IN ROW (11):						
	20.8% <sup>3</sup>								
14	TYPE OF REPORTING	G PERSON (SEE INSTI	RUCTIONS):						
	IN								
L	** 1								

- 1 Venrock Healthcare Capital Partners II, L.P., VHCP Co-Investment Holdings II, LLC, Venrock Healthcare Capital Partners III, L.P., VHCP Co-Investment Holdings III, LLC, VHCP Management II, LLC, VHCP Management III, LLC, Nimish Shah and Bong Koh are members of a group for the purposes of this Schedule 13D.
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This Schedule 13D is being filed by the Venrock Entities (as defined below) and the Venrock GPs (as defined below) to report the acquisition of Common Stock, as described in Item 3 below.

#### **ITEM 1. SECURITY AND ISSUER**

The class of equity securities to which this statement relates is Common Stock, par value \$0.0001 per share (the "Common Stock"), of Altimmune, Inc., a Delaware corporation (the "Issuer" or "Altimmune"). The principal executive offices of the Issuer are located at 910 Clopper Road, Suite 201A, Gaithersburg, Maryland 20878.

## ITEM 2. IDENTITY AND BACKGROUND

- (a) This Schedule 13D is filed by Venrock Healthcare Capital Partners II, L.P. ("VHCP II"), VHCP Co-Investment Holdings II, LLC ("VHCP-Co II"), Venrock Healthcare Capital Partners III, L.P. ("VHCP III") and VHCP Co-Investment Holdings III, LLC ("VHCP-Co III" and together with VHCP II, VHCP-Co II and VHCP III, the "Venrock Entities"). VHCP Management II, LLC ("VHCPM II") is the general partner of VHCP II and the manager of VHCP-Co II, and VHCP Management III, LLC ("VHCPM III" and together with VHCPM II, the "Venrock GPs") is the general partner of VHCP-Co III and the manager of VHCP-Co III. Nimish Shah ("Shah") and Bong Koh ("Koh") are the voting members of the Venrock GPs.
- (b) The address of the principal place of business of each of the Venrock Entities, Venrock GPs, Shah and Koh is 7 Bryant Park, 23<sup>rd</sup> Floor, New York, NY 10018.
- (c) The principal business of each of the Venrock Entities, Venrock GPs, Shah and Koh is a venture capital investment business.
- (d) During the last five years, none of the Venrock Entities, Venrock GPs, Shah or Koh has been convicted in any criminal proceeding (excluding traffic violations or similar misdemeanors).
- (e) During the last five years, none of the Venrock Entities, Venrock GPs, Shah or Koh has been a party to a civil proceeding of a judicial or administrative body of competent jurisdiction resulting in such Venrock Entity, Venrock GP, Shah or Koh being subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws.
- (f) Each of the Venrock Entities is a Delaware limited partnership and each of the Venrock GPs is a Delaware limited liability company. Shah and Koh are each United States citizens.

### ITEM 3. SOURCE AND AMOUNT OF FUNDS OR OTHER CONSIDERATION

As of the date hereof, the Venrock Entities may be deemed to beneficially own an aggregate of 4,500,000 shares of Common Stock, all of which were acquired between May 20, 2020 and June 15, 2020, as described in greater detail in Item 5. Of these shares, an aggregate of 3,000,000 shares were acquired in open market transactions for an aggregate purchase price of \$22.0 million and an aggregate of 1,500,000 shares were acquired directly from the Issuer pursuant to an at the market ("ATM") offering program for an aggregate purchase price of \$11.3 million. The funds used by the Venrock Entities to acquire the securities described herein were obtained from capital contributions from their respective partners.

#### **ITEM 4. PURPOSE OF TRANSACTION**

The Venrock Entities acquired the securities for investment purposes with the aim of increasing the value of its investments and the Issuer.

Subject to applicable legal requirements, one or more of the Reporting Persons may purchase or sell additional securities of the Issuer from time to time in open market or private transactions, depending on their evaluation of the Issuer's business, prospects and financial condition, the market for the Issuer's securities, other developments concerning the Issuer, the reaction of the Issuer to the Reporting Persons' ownership of the Issuer's securities, other opportunities available to the Reporting Persons, and general economic, money market and stock market conditions. In addition, depending upon the factors referred to above, the Reporting Persons may dispose of all or a portion of their securities of the Issuer at any time. Each of the Reporting Persons reserves the right to increase or decrease its holdings on such terms and at such times as each may decide.

Other than as described above, as of the date of this Schedule 13D, none of the Reporting Persons has any present plans which relate to or would result in:

- (a) the acquisition by any person of additional securities of the Issuer, or the disposition of securities of the Issuer;
- (b) an extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the Issuer or any of its subsidiaries;
- (c) a sale or transfer of a material amount of assets of the Issuer or any of its subsidiaries;
- (d) any change in the present board of directors or management of the Issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- (e) any material change in the present capitalization or dividend policy of the Issuer;
- (f) any other material change in the Issuer's business or corporate structure including but not limited to, if the Issuer is a registered closed-end investment company, any plans or proposals to make any changes in its investment policy for which a vote is required by Section 13 of the Investment Company Act of 1940;
- (g) changes in the Issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the Issuer by any person;
- (h) causing a class of securities of the Issuer to be delisted from a national securities exchange or to cease to be authorized to be quoted in an interdealer quotation system of a registered national securities association;
- (i) a class of equity securities of the Issuer becoming eligible for termination of registration pursuant to Section 12(g)(4) of the Act; or
- (j) any action similar to any of those enumerated above.

# ITEM 5. INTEREST IN SECURITIES OF THE ISSUER

The Reporting Persons are members of a group for purposes of this Schedule 13D.

(a) As of the date hereof, the Venrock Entities own the following shares:

Reporting Persons	Shares Held Directly	Sole Voting Power	Shared Voting Power (1)	Sole Dispositive Power	Shared Dispositive Power (1)	Beneficial Ownership	Percentage of Class (2)
VHCP II	1,189,350	_	4,500,000	_	4,500,000	4,500,000	20.8%
VHCP Co-II	481,949	_	4,500,000	_	4,500,000	4,500,000	20.8%
VHCP III	2,571,752	_	4,500,000	_	4,500,000	4,500,000	20.8%
VHCP Co-III	256,949	_	4,500,000	_	4,500,000	4,500,000	20.8%
VHCPM II		_	4,500,000	_	4,500,000	4,500,000	20.8%
VHCPM III	_	_	4,500,000	_	4,500,000	4,500,000	20.8%
Shah	_	_	4,500,000	_	4,500,000	4,500,000	20.8%
Koh	—	—	4,500,000		4,500,000	4,500,000	20.8%

- (1) Shah and Koh are the voting members of VHCPM II (the general partner of VHCP II and manager of VHCP-Co II) and VHCPM III (the general partner of VHCP III and manager of VHCP-Co III). The Venrock GPs, Shah and Koh own no securities of the Issuer directly. Shah and Koh share voting and investment control over the securities owned by the Venrock Entities and may be deemed to beneficially own the securities held by those entities. As general partners of the Venrock Entities, the Venrock GPs may be deemed to beneficially own the securities held by those entities.
- (2) This percentage is calculated based on an estimated 21,611,698 shares of common stock outstanding as of the date hereof, which consists of 20,111,698 shares of common stock outstanding on May 29, 2020, as reported in the Issuer's prospectus supplement filed with the Securities and Exchange Commission on June 1, 2020, plus 1,500,000 shares of common stock purchased by the Reporting Persons directly from the Issuer pursuant to an at-the-market offering on June 15, 2020.
- (b) Each of the Reporting Persons has sole power to vote or to direct the vote of no shares of Common Stock, sole power to dispose or to direct the disposition of no shares of Common Stock, shared power to vote or to direct the vote of 4,500,000 shares of Common Stock and shared power to dispose or to direct the disposition of 4,500,000 shares of Common Stock.

# (c) The shares of Common Stock beneficially owned by the Reporting Persons were acquired as follows:

VHCP II

		Number of Shares		Veighted crage Price				
Date of Transaction	Type of Purchase	Acquired	Per Share		Low Price		High Price	
20-May-20	Open market	396,450	\$	6.23	\$	5.98	\$	6.44
21-May-20	Open market	12,386	\$	6.11	\$	6.11	\$	6.11
22-May-20	Open market	39,513	\$	6.71	\$	6.71	\$	6.71
26-May-20	Open market	185,010	\$	9.34	\$	8.40	\$	10.00
27-May-20	Open market	106,291	\$	7.71	\$	6.63	\$	8.05
28-May-20	Open market	53,250	\$	8.72	\$	7.61	\$	9.00
15-Jun-20	ATM	396,450	\$	7.54	\$	7.54	\$	7.54

# VHCP Co-II

		Number of Shares		Weighted erage Price				
Date of Transaction	Type of Purchase	Acquired	]	Per Share	Ι	Low Price	H	ligh Price
20-May-20	Open market	160,650	\$	6.23	\$	5.98	\$	6.44
21-May-20	Open market	5,019	\$	6.11	\$	6.11	\$	6.11
22-May-20	Open market	16,011	\$	6.71	\$	6.71	\$	6.71
26-May-20	Open market	74,970	\$	9.34	\$	8.40	\$	10.00
27-May-20	Open market	43,071	\$	7.71	\$	6.63	\$	8.05
28-May-20	Open market	21,578	\$	8.72	\$	7.61	\$	9.00
15-Jun-20	ATM	160,650	\$	7.54	\$	7.54	\$	7.54

# VHCP III

		Number of Shares	Weighted erage Price				
Date of Transaction	Type of Purchase	Acquired	Per Share	Ι	Low Price	H	ligh Price
20-May-20	Open market	857,250	\$ 6.23	\$	5.98	\$	6.44
21-May-20	Open market	26,783	\$ 6.11	\$	6.11	\$	6.11
22-May-20	Open market	85,440	\$ 6.71	\$	6.71	\$	6.71
26-May-20	Open market	400,050	\$ 9.34	\$	8.40	\$	10.00
27-May-20	Open market	229,837	\$ 7.71	\$	6.63	\$	8.05
28-May-20	Open market	115,142	\$ 8.72	\$	7.61	\$	9.00
15-Jun-20	ATM	857,250	\$ 7.54	\$	7.54	\$	7.54

		Number of Shares		Weighted Average		
Date of Transaction	Type of Purchase	Acquired	Pr	ice Per Share	Low Price	High Price
20-May-20	Open market	85,650	\$	6.23	\$ 5.98	\$ 6.44
21-May-20	Open market	2,676	\$	6.11	\$ 6.11	\$ 6.11
22-May-20	Open market	8,536	\$	6.71	\$ 6.71	\$ 6.71
26-May-20	Open market	39,970	\$	9.34	\$ 8.40	\$ 10.00
27-May-20	Open market	22,963	\$	7.71	\$ 6.63	\$ 8.05
28-May-20	Open market	11,504	\$	8.72	\$ 7.61	\$ 9.00
15-Jun-20	ATM	85,650	\$	7.54	\$ 7.54	\$ 7.54

Except as reported herein, the Reporting Persons have not engaged in any transactions involving the securities of the Issuer in the last 60 days.

- (d) No other person is known by the Reporting Persons to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, Common Stock beneficially owned by the Reporting Persons.
- (e) Not applicable.

# ITEM 6. CONTRACTS, ARRANGEMENTS, UNDERSTANDINGS OR RELATIONSHIPS WITH RESPECT TO SECURITIES OF THE ISSUER

To the best of the Reporting Persons' knowledge, there are no contracts, arrangements, understandings or relationships (legal or otherwise) among the persons named in Item 2 and between such persons and any person with respect to any securities of the Issuer.

# ITEM 7. MATERIAL TO BE FILED AS EXHIBITS

- A. Agreement regarding filing of joint Schedule 13D.
- B. Power of Attorney for Bong Koh (incorporated by reference to Exhibit B to Schedule 13D filed with the Securities and Exchange Commission on June 1, 2020).
- C. Power of Attorney for Nimish Shah (incorporated by reference to Exhibit C to Schedule 13D filed with the Securities and Exchange Commission on June 1, 2020).

#### SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: June 25, 2020

#### Venrock Healthcare Capital Partners II, L.P.

By: VHCP Management II, LLC Its: General Partner

- By: /s/ David L. Stepp Name: David L. Stepp

Authorized Signatory Its:

# **VHCP Co-Investment Holdings II, LLC**

By: VHCP Management II, LLC

Its: Manager

By: /s/ David L. Stepp Name: David L. Stepp Its: Authorized Signatory

#### **VHCP Management II, LLC**

By:	/s/ David L. Stepp					
	Name:	David L. Stepp				
	Its:	Authorized Signatory				

#### Nimish Shah

By: /s/ David L. Stepp David L. Stepp, as attorney-in-fact

#### Venrock Healthcare Capital Partners III, L.P.

- By: VHCP Management III, LLC
- Its: General Partner
- By: /s/ David L. Stepp Name: David L. Stepp Its: Authorized Signatory

#### VHCP Co-Investment Holdings III, LLC

- By: VHCP Management III, LLC
- Its: Manager
- By: /s/ David L. Stepp

Name: David L. Stepp Its: Authorized Signatory

# VHCP Management III, LLC

By: /s/ David L. Stepp Name: David L. Stepp Its: Authorized Signatory

#### Bong Koh

By: /s/ David L. Stepp David L. Stepp, as attorney-in-fact

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative (other than an executive officer or general partner of the filing person), evidence of the representative's authority to sign on behalf of such person shall be filed with the statement: provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

## Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (See 18 U.S.C. 1001)

#### EXHIBIT A

# AGREEMENT

Pursuant to Rule 13d-1(k)(1) promulgated pursuant to the Securities Exchange Act of 1934, as amended, the undersigned agree that the attached Schedule 13D is being filed on behalf of each of the undersigned.

Dated: June 25, 2020

#### Venrock Healthcare Capital Partners II, L.P.

- By: VHCP Management II, LLC
- Its: General Partner
- By: /s/ David L. Stepp Name: David L. Stepp Its: Authorized Signatory

### VHCP Co-Investment Holdings II, LLC

By: VHCP Management II, LLC

- Its: Manager
- By: /s/ David L. Stepp

Name:David L. SteppIts:Authorized Signatory

# **VHCP Management II, LLC**

By: /s/ David L. Stepp Name: David L. Stepp Its: Authorized Signatory

#### Nimish Shah

By: /s/ David L. Stepp David L. Stepp, as attorney-in-fact

#### Venrock Healthcare Capital Partners III, L.P.

- By: VHCP Management III, LLC
- Its: General Partner
- By: /s/ David L. Stepp Name: David L. Stepp Its: Authorized Signatory

#### VHCP Co-Investment Holdings III, LLC

- By: VHCP Management III, LLC
- Its: Manager
- By: /s/ David L. Stepp Name: David L. Stepp Its: Authorized Signatory

# **VHCP Management III, LLC**

By: /s/ David L. Stepp Name: David L. Stepp Its: Authorized Signatory

#### **Bong Koh**

By: /s/ David L. Stepp David L. Stepp, as attorney-in-fact