| rm 4 | | | | | | | | | | | | | | | | | | | |
|--|---|--|---|--|--|---|---|---|--|--|--|--|---|--|---|---|--|---|--|
| FORM 4 UNITE | | | | ED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | | | | OMB APPROVAL | | | |
| Section 16. Form 4 or Form 5 obligations may continue. See | | | | | d pursuant to Section 16(a) of the Securities Exchange Act of 1934 | | | | | | | | | | | | OMB Number: 32 Estimated average burden hours per response: | | |
| 1. Name and Address of Reporting Person* Garg Vipin K (Last) (First) (Middle) | | | | 2. I <u>A</u> 3. I | 2. Issuer Name and Ticker or Trading Symbol <u>Altimmune, Inc.</u> [ALT] 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | | | (Chec | k all applic Directo Officer below) | able) r (give title | ive title Other (specify below) | | | |
| C/O ALTIMMUNE, INC., 910 CLOPPER RO SUITE 201S (Street) GAITHERSBURG MD 20878 (City) (State) (Zip) | | | | | | | | | of Original Filed (Month/Day/Year) | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | ı | |
| | Tab | ole I - N | Non-Deri | vativ | e Sec | urit | ies Ac | cquire | ed, D | isposed c | of, or B | enefic | cially | Owned | | | | | |
| Date | | | | | Year) Execu | | ution Date, y | | | | | | d 5) | Securities Beneficially Owned Follo Reported | | Form (D) o | n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | Code | v | Amount | (A) or (D) | Price | | | | | | | |
| Common Stock, par value \$0.0001 01/31 | | | | 2023 |)23 | | | | | 1,938 | Α | \$10.2 | .085 ⁽²⁾ | 229 | 229,713 | | D | | |
| Common Stock, par value \$0.0001 02/01/2 | | | | 2023 | | | | М | | 16,546 | A | \$ <mark>(</mark> |) (3) | 246,259 | | | D | | |
| Common Stock, par value \$0.0001 02/01/20 | | | | 2023 | | | F ⁽⁴⁾ | | | 7,658 | D | \$13 | 3.78 | 238 | 238,601 | | D | | |
| | - | Table I | | | | | | | | | | | | Owned | | | | | |
| 2. Conversion or Exercise Price of Derivative Security | cise (Month/Day/Year) if any (Month/Day/Year) / | | | ransaction Code (Instr. | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | ation D | ate | of Securities Underlying Derivative Sec (Instr. 3 and 4) | | rity (| Derivative Security | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | <pre>cthis box if no lc nn 16. Form 4 or tions may contir ction 1(b). and Address of <u>/ipin K</u> (Fi TIMMUNE, 201S ERSBURG (Si ERSBURG (Si Security (Inst n Stock, par 1 n St</pre> | FORM 4 Chis box if no longer subject to in 16. Form 4 or Form 5 itions may continue. See ction 1(b). Ind Address of Reporting Person* Zipin K (First) TIMMUNE, INC., 910 CLC 2015 ERSBURG MD (State) Tat: Security (Instr. 3) 1 Stock, par value \$0.0001 1 Stock store | FORM 4 UNIT cthis box if no longer subject to on 16. Form 4 or Form 5 tions may continue. See ction 1(b). Ind Address of Reporting Person* Zipin K (First) (Middle) TIMMUNE, INC., 910 CLOPPER 2015 ERSBURG MD 20878 (State) (Zip) Table I - I Security (Instr. 3) 1 Stock, par value \$0.0001 a Stock, par value \$0.0001 b Stock \$0.0001 b | FORM 4 UNITED STA cthis box if no longer subject to in 16. Form 4 or Form 5 thons may continue. See ction 1(b). STATEMI inf Address of Reporting Person* Filter and Address of Reporting Person* /ipin K (Middle) (First) (Middle) TIMMUNE, INC., 910 CLOPPER ROAD, 2015 20878 ERSBURG MD 20878 (State) (Zip) Table I - Non-Deri Security (Instr. 3) 2. Transactor Date (Month/Da) a Stock, par value \$0.0001 01/31/2 a Stock, par value \$0.0001 02/01/2 Conversion or Exercise Price of Date (Month/Day/Year) 3A. Deemed Execution Date, frany (Month/Day/Year) 2. 3. Transaction Date (Frank (Month/Day/Year)) 3A. Deemed Execution Date, frany (Month/Day/Year) | FORM 4 UNITED STATES cthis box if no longer subject to n16. Form 4 or Form 5 tions may continue. See ction 1(b). STATEMENT ind Address of Reporting Person* Filed pur or ind Address of Reporting Person* 3.1 (First) (Middle) TIMMUNE, INC., 910 CLOPPER ROAD, 2015 01 (State) (Zip) ERSBURG MD 20878 (State) (Zip) Table I - Non-Derivative Security (Instr. 3) 2. 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Issuer Name and Ticker or Trading Symbol Altimmune, InC. [ALT] S. Relationship of (Check all applic) 3. Date of Earliest Transaction (Month/Day/Year) 01/31/2023 S. Relationship of (Check all applic) 3. Date of Earliest Transaction (Month/Day/Year) 01/31/2023 S. Relationship of (Month/Day/Year) S. 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Erna of Creation States and or section 30(0) of the Investment Company Act of 1930 OME APPROV Commission In Address of Reporting Person" 2. Issuer Name and Ticker or Trading Symbol (First) S. Relationship of Reporting Person(S) of the Investment Company Act of 1940 S. Relationship of Reporting Person(S) to Iss. Check all applicable (First) (Middle) 3. Date of Earliest Transaction (Montt/Day/Year) S. Relationship of Reporting Person(S) to Iss. Check all applicable (First) (Middle) 3. Date of Earliest Transaction (Montt/Day/Year) S. Relationship of Reporting Person(S) to Iss. Check all applicable (State) (Zip) 1. I Amendment, Date of Original Filed (Month/Day/Year) E. Individual or Joint/Group Filing (Check Applicable) ERSBURG MD 20878 2. Deemode (Month/Day/Year) S. Amount (Month/Day/Year) E. Securities Accurites Accurites Accurites Accurites Accurites (Month/Day/Year) E. Amount of Besorting Person S. Amount of Besorting Person 1 Stock, par value \$0.0001 0.131/2023 Ani 1.031/2023 S. Amount (Month Person) S. Amount (Month Person) S. Amount of Besorting Person S. Amount of Besorting Person | |

Explanation of Responses:

(3)

Restricted

Stock Units

1. These shares were purchased due to participation by the reporting individual in the Issuer's 2019 Employee Stock Purchase Plan ("ESPP"). It pertains to the ESPP purchase period from August 1, 2022 through January 31, 2023.

(5)

16,546

2. In accordance with the ESPP, these shares were purchased based on 85% of the opening price of the issuer's common stock on August 1, 2022.

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3. Each Restricted Stock Unit ("RSU") represents a contingent right to receive one share of Common Stock, par value \$0.0001, when vested.

4. Vesting transaction: Shares surrendered to the Issuer solely to cover taxes associated with vesting of RSUs.

5. The RSUs become vested in substantially equal annual installments over the 4 years following February 1, 2021, subject to the reporting person's continued service through the applicable vesting date, and have no expiration date.

/s/ Richard Eisenstadt, as Attorney-in-Fact

Title Common Stock,

par value \$0.0001

(5)

02/02/2023

** Signature of Reporting Person Date

16,546

\$0.00

33,090

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

02/01/2023

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.