SEC Form 4	
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

)	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OWB APPRC	VAL
OMB Number:	3235-0287
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hours per response:	0.5

			or Section 30(h) of the Investment Company Act of 1940						
1. Name and Address of Reporting Person <sup>*</sup> <u>Novartis Bioventures Ltd</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol Altimmune, Inc. [ ALT ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
					Director	А	10% Owner		
(Last) (First) (Middle) C/O NOVARTIS INTERNATIONAL AG LICHTSTRASSE 35		( )	3. Date of Earliest Transaction (Month/Day/Year) 06/15/2018		<ul> <li>Officer (give title below)</li> </ul>		Other (specify below)		
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6 Indiv	6. Individual or Joint/Group Filing (Check Applica				
(Street) BASEL	V8	CH-4056		Line)	Form filed by One Form filed by More Person	Repor	ting Person		
(City)	(State)	(Zip)							

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		Transaction Disposed Of (D) (Ins Code (Instr. 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock, par value \$0.0001	06/15/2018		J <sup>(1)</sup>		14,449	A	(1)	5,611,806	Ι	See Footnote <sup>(2)</sup>

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Code (Instr. 8)		Transaction of Code (Instr. Derivativ		of Expiration Date (Month/Day/Year) Securities Acquired A) or Disposed of (D) Instr. 3, 4				Expiration Date		and nt of ties lying tive ty (Instr. 3	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares								

	dress of Reporting Pers ioventures Ltd	on*							
(Last) (First) (Middle)									
C/O NOVARTIS INTERNATIONAL AG									
LICHTSTRASSE 35									
(Street)									
BASEL	V8	CH-4056							
(City)	(State)	(Zip)							
1. Name and Address of Reporting Person <sup>*</sup> NOVARTIS AG									
(Last)	(First)	(Middle)							
C/O NOVAR	<b>FIS INTERNATION</b>	IAL AG							
LICHTSTRA	SSE 35								
(Street) BASEL	V8	CH-4056							
(City)	(State)	(Zip)							

Explanation of Responses:

1. Pursuant to the terms of the Series B Convertible Preferred Stock (the "Preferred Stock"), the Issuer converted the Preferred Stock into shares of the Issuer's common stock, par value \$0.0001 per share (the "Common Stock") at the installment conversion price of \$0.4410 per share of Common Stock.

2. The board of directors of Novartis Bioventures Ltd has sole voting and investment control and power over such securities. None of the members of its board of directors has individual voting or investment power with respect to such securities and each disclaims beneficial ownership of such securities. Novartis Bioventures Ltd is an indirectly owned subsidiary of Novartis AG.

**Remarks:** 

/s/ Bart Dzikowski, Secretary of the Board of Novartis Bioventures Ltd	<u>06/19/2018</u>
<u>/s/ Anja Koenig, Authorized</u> <u>Signatory of Novartis</u> <u>Bioventures Ltd</u>	<u>06/19/2018</u>
<u>/s/ Bart Dzikowski, Authorized</u> <u>Signatory of Novartis AG</u>	<u>06/19/2018</u>
<u>/s/ Anja Koenig, Authorized</u> <u>Signatory of Novartis AG</u>	<u>06/19/2018</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.