SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

VELOCITY PHARMACEUTICAL		2. Date of Event Requiring Statement (Month/Day/Year) 07/12/2019		3. Issuer Name and Ticker or Trading Symbol <u>Altimmune, Inc.</u> [ALT]						
(Last) (First) (Middle) 400 OYSTER BLVD. SUITE 202				4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owne Officer (give title below) below)		(Month/Day/Year) r				
(Street) SOUTH SAN FRANCISCO	94080				20.011)			cable Line) Form filed b	y One Reporting Person y More than One	
(City) (State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock				1,887,250(1)	50 ⁽¹⁾ D					
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Securit	2. Date Exercisable and Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securi Underlying Derivative Securi		Instr. 4) Conver or Exer		5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
		Date Exercisable	Expiratio Date	n Title	Amount or Number of Shares	Price o Deriva Securi	tive	Direct (D) or Indirect (I) (Instr. 5)		
1. Name and Address of Reporting Person* <u>VELOCITY PHARMACEUTICAL HOLDINGS</u> <u>LLC</u>										
(Last) (First 400 OYSTER BLVD. SUITE 202	t) (Middle)									
(Street) SOUTH SAN CA 94080 FRANCISCO										
(City) (State) (Zip) 1. Name and Address of Reporting Person* Collier David J			-							
(Last) (First) (Middle) C/O VELOCITY PHARMA MANAGEMENT, LLC 400 OYSTER BLVD SUITE 202										
(Street) SOUTH SAN CA 94080 FRANCISCO										
(City) (Stat	(State) (Zip)									
1. Name and Address of Reporting Person [*] <u>Watson James F</u>										
(Last) (First	(First) (Middle)									

C/O VELOCITY PHARMA MANAGEMENT, LLC 400 OYSTER BLVD SUITE 202							
(Street) SOUTH SAN FRANCISCO	CA	94080					
(City)	(State)	(Zip)					
1. Name and Address <u>Velocity Pharm</u>							
(Last) 400 OYSTER BI SUITE 202	(First) VD.	(Middle)					
(Street) SOUTH SAN FRANCISCO	CA	94080					
(City)	(State)	(Zip)					

Explanation of Responses:

1. The shares are directly held by Velocity Pharmaceutical Holdings LLC ("VPH"). Velocity Pharma Management, LLC ("VPM") is the manager of VPH. David J. Collier and James F. Watson are the managing members of VPM, and as such may be deemed to hold shared voting and dispositive power over the shares held by VPH. Mr. Collier and Mr. Watson disclaim beneficial ownership of the shares held by VPH except to the extent of their pecuniary interest therein.

Remarks:

By: /s/ David J. Collier, as a Reporting Owner and in his capacity as managing member of Velocity Pharma Management, LLC, the manager of Velocity Pharmaceutical Holdings LLC, and by power of attorney on behalf of James F. Watson ** Signature of Reporting Person Date

02/14/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.