| SEC For | m 4 | | | | | | | | | | | | | | | | | | | |
|--|---|--|--|----------|--|--|--|------------|---|-------------------|---|-------------------------------|---|---|---|--|--|--|--|--|
| FORM 4 UNITED STAT | | | | | | TES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | | OMB APPROVAL | | | | |
| Section 16. Form 4 or Form 5 obligations may continue. See | | | | | | NT OF CHANGES IN BENEFICIAL OWNERSHIP | | | | | | | | | | OMB Number: 3235-0287 Estimated average burden hours per response: 0.5 | | | | |
| 1. Name and Address of Reporting Person* Hodges Philip | | | | | | or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
| (Last) C/O ALT | Last) (First) (Middle) C/O ALTIMMUNE, INC., 910 CLOPPER ROAD, | | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/23/2021 | | | | | | | | X Director 10% Owner Officer (give title Other (specify below) below) | | | | | | | |
| SUITE 201S (Street) GAITHERSBURG MD 20878 | | | | | 4. l | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (City) (State) (Zip) | | | | | | | | | | | | | | | | | | | | |
| Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | | action | i 2 E ear) if | A. Deeme A. Deeme Execution f any Month/Da | ed Date | 3. 4. Transaction Dis Code (Instr. 5) | | curities Acquired (A) based Of (D) (Instr. 3, 4 | | or | 5. Amour Securitie Beneficia Owned F | nt of s ally ollowing | Form (D) or | r Indirect I str. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | | Code V | Amou | nt (A (D | (A) or (D) Price | | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | | |
| | | - | | | | | | | uired, Dis , options, | | | | | Owned | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution D if any (Month/Day) | Date, Ti | ransaction ode (Instr. | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exer Expiration D (Month/Day/ | ate | e and 7. Title and An of Securities Underlying Derivative Sec (Instr. 3 and 4) | | | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4) | e s Ily J | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Co | ode | v | (A) | (D) | Date Exercisable | Expiratio Date | n Title | Amo or Num of Sha | | | | | | | | |
| Stock Options (option to buy) | \$15.44 | 09/23/2021 | | | A | | 24,100 | | (1) | 09/23/20 | 31 Comm Stock par val \$0.000 | ^{c,} 24, | 100 | \$0 | 24,10 | 0 | D | | | |

Explanation of Responses:

1. The shares underlying the option become vested and exercisable in substantially equal monthly installments over the 12 months following September 23, 2021, subject to the reporting person's continued service through the applicable vesting date.

<u>, as</u> <u>09/24/2021</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.